

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

Court Exhibit 6
8/1/2013

----- X
SECURITIES AND EXCHANGE
COMMISSION,

Plaintiff,

-v-

FABRICE TOURRE,

Defendant.
----- X

10 Civ. 3229 (KBF)

VERDICT

1. With respect to the SEC's claim that defendant Fabrice Tourre violated
Section 17(a)(1) of the Securities Act, we, the jury, find defendant Fabrice
Tourre:

Liable ✓

Not Liabe _____

2. With respect to the SEC's claim that defendant Fabrice Tourre violated
Section 17(a)(2) of the Securities Act, we, the jury, find defendant Fabrice
Tourre:

Liabe ✓

Not Liabe _____

3. With respect to the SEC's claim that defendant Fabrice Tourre violated
Section 17(a)(3) of the Securities Act, we, the jury, find defendant Fabrice
Tourre:

Liabe ✓

Not Liabe _____

4. With respect to the SEC's claim that defendant Fabrice Tourre violated **Section 10(b)** of the Securities Exchange Act and **Rule 10b-5(a)**, we, the jury, find defendant Fabrice Tourre:

Liable ☒

Not Liable ☐

5. With respect to the SEC's claim that defendant Fabrice Tourre violated **Section 10(b)** of the Securities Exchange Act and **Rule 10b-5(b)**, we, the jury, find defendant Fabrice Tourre:

Liable ☐

Not Liable ☒

6. With respect to the SEC's claim that defendant Fabrice Tourre violated **Section 10(b)** of the Securities Exchange Act and **Rule 10b-5(c)**, we, the jury, find defendant Fabrice Tourre:

Liable ☒

Not Liable ☐

7. With respect to the SEC's claim that defendant Fabrice Tourre, violated **Section 20(e)** of the Securities Exchange Act, we, the jury, find defendant Fabrice Tourre:

Liable ☒

Not Liable ☐


FOREPERSON

Date August 1, 2013